

PATENTS

JCS49 U.S. PTO
09/030258
02/26/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Richard Kenneth Schultz Docket 12217-100
: Howard Kent Gilbert No.:
Ashish Suresh Deshpande
Serial No.: Not yet given Examiner: Not assigned
Filed: Herewith Art Unit: Not assigned
For: METHOD AND APPARATUS FOR EVENT-DRIVEN PROCESSING
OF DATA

#2
JRM
6-11-98

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on this date February 25, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EM342694712US to the Assistant Commissioner for Patents, Washington, DC 20231.

February 25, 1998
Date

Thomas F. Presson

Thomas F. Presson
(Signature of Person Mailing Paper)

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §1.56, the Examiner is respectfully requested to consider the references cited on attached Form PTO-1449. A copy of each reference (if not previously submitted) is enclosed. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- [X] 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.
- [] 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- [] a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1); and no fee is required under 37 C.F.R. §1.17(p).
- [] b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2); and no fee is required under 37 C.F.R. §1.17(p).
- [] Please charge Deposit Account No. 23-1665 in the amount of \$240.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.
- [] A check in the amount of \$240.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).
- [] 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.
- [] a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

- [] b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- [] Please charge Deposit Account No. 23-1665 in the amount of \$130.00 in payment of the fee under 37 C.F.R. §1.17(i)(1). Two additional copies of this Information Disclosure Statement are enclosed.
- [] A check in the amount of \$130.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(i)(1).

The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as a representation that no better art exists or that a thorough patentability search has been made.

The undersigned further represents that the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Respectfully submitted,

RICHARD K. SCHULTZ ET AL.

Thomas F. Presson

Thomas F. Presson
Reg. No. 41,442
Attorney for Applicants

Wiggin & Dana
One Century Tower
New Haven, CT 06508-1832
(203) 498-4503
(203) 782-2889 - Fax

Mailed: February 25, 1998

12217\1\50332.01

Express Mail No. EM342694712US
PATENTS

JCS49 U.S. PTO
09/030258
02/25/98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Richard Kenneth Schultz Docket 12217-100
 Howard Kent Gilbert No.:
 Ashish Suresh Deshpande
Serial No.: Not Yet Given Examiner: Not Assigned
Filed: Herewith Art Unit: Not Assigned
For: METHOD AND APPARATUS FOR EVENT DRIVEN PROCESSING
 OF DATA

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Dear Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, applicant wishes to make of record the items on attached PTO Form 1449, which were considered in the preparation of the subject application. Copies of the items are provided in accordance with 37 CFR 1.98.

U.S. Patent No. 5,023,832, entitled, "Method of Maintaining Asynchronous Real-Time Data" by Fulcher, Jr. et al., issued June 11, 1991.

U.S. Patent No. 5,325,527, entitled, "Client/Server Communication System Utilizing A Self-Generating Nodal Network" by Cwikowski et al., issued June 28, 1994.

U.S. Patent No. 5,325,530, entitled, "Controller for Sequential Programming Tools Executed in a Parallel Computing Environment" by Mohrmann, issued June 28, 1994.

U.S. Patent No. 5,341,499, entitled, "Method and Apparatus for Processing Multiple File System Server Requests in a Data Processing Network" by Doragh, issued August 23, 1994.

U.S. Patent No. 5,414,845, entitled, "Network-Based Computer System with Improved Network Scheduling System" by Behm et al., issued May 9, 1995.

U.S. Patent No. 5,596,748, entitled, "Functional Compensation in a Heterogeneous, Distributed Database Environment" by Kleewein et al., issued January 21, 1997.

U.S. Patent No. 5,619,655, entitled, "System for Administration and Management of Network From Remote or Central Station Through An Operator Interface Which Prepares and Stores the Management Orders for Execution" by Weng et al., issued April 8, 1997.

U.S. Patent No. 5,621,910, entitled, "System for Controlling Instruction Distribution for Use in Superscalar Parallel Processor" by Nagamatsu, issued April 15, 1997.

U.S. Patent No. 5,625,837, entitled, "Processor Architecture Having Out-of-Order Execution, Speculative Branching, and Giving Priority to Instructions Which Affect a Condition Code" by Popescu et al., issued April 29, 1997.

U.S. Patent No. 5,634,127, entitled, "Methods and Apparatus for Implementing a Message Driven Processor in a Client-Server Environment" by Cloud et al., issued May 27, 1997.

U.S. Patent No. 5,680,597, entitled, "System with Flexible Local Control for Modifying Same Instruction Partially in Different Processor of a SIMD Computer System to Execute Dissimilar Sequences of Instructions" by Kumar et al., issued October 21, 1997.

U.S. Patent No. 5,689,677, entitled, "Circuit for Enhancing Performance of a Computer for Personal Use" by MacMillan, issued November 18, 1997.

U.S. Patent No. 5,689,681, entitled, "System for Reading Dynamically Changing Data Supporting Partial Reads of Storage Locations" by Petersen, issued November 18, 1997.

U.S. Patent No. 5,689,708, entitled, "Client/Server Computer Systems Having Control of Client-Based Application Programs, and Application-Program Control Means Therefor" by Regnier et al., issued November 18, 1997.

U.S. Patent No. 5,692,128, entitled, "Computer Network with Reliable and Efficient Removable Media Services" by Bolles et al., issued November 25, 1997.

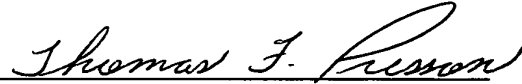
U.S. Patent No. 5,692,141, entitled, "Groupware System Providing Facilitated Data Transfer Among Common and Individual Work Areas" by Kamisango et al., issued November 25, 1997.

U.S. Patent No. 5,710,887, entitled, "Computer System and Method for Electronic Commerce" by Chelliah et al., issued January 20, 1998.

The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as representation that no better art exists or that a thorough patentability search has been made.

Respectfully submitted,

RICHARD K. SCHULTZ ET AL.



Thomas F. Presson

Reg. No. 41,442

Attorney for Applicants

Mailed: 2/25/98

Wiggin & Dana
One Century Tower
New Haven, CT 06508-1832
(203) 498-4503

12217\1\51296.01